Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

1 011010	on Benefit Guaranty Gorporation				Inspection	
Part I	Annual Report Identifi	cation Information				
For cale	ndar plan year 2013 or fiscal plan			and ending 06/3	30/2014	
A This return/report is for: ☐ a multiemployer plan; ☐ a multiple-employer plan; or						
7 11110	ctaninoport is for.	a single-employer plan;	□ . □ a DEE (s	specify)		
		a single-employer plan,				
_			П., с.,			
B This	return/report is:	the first return/report;		return/report;		
		an amended return/report;	a short p	lan year return/report (les	s than 12 months).	
C If the	plan is a collectively-bargained p	olan, check here				
	k box if filing under:	Form 5558;	_	c extension;	the DFVC program;	
D Chec	k box ii iiiiiig uiidei.			o exterioion,	the Br ve program,	
		special extension (enter desc				
Part	II Basic Plan Informat	ion—enter all requested informa	ition			
1a Nan	ne of plan				1b Three-digit plan	506
SETON	HALL UNIVERSITY				number (PN) ▶	
					1c Effective date of	plan
0- 5					07/01/2012	
2a Plan	sponsor's name and address; in	nclude room or suite number (emp	oloyer, if for a single	-employer plan)	2b Employer Identification Number (EIN)	cation
SETON	HALL UNIVERSITY				22-1500645	
SETON	TIALL UNIVERSITY				2c Sponsor's telepho	one
					number	0110
400.001	ITH ODANOE AVENUE				973-761-91	81
	JTH ORANGE AVENUE ORANGE, NJ 07079		TH ORANGE AVEN RANGE, NJ 07079	JE	2d Business code (s	ee
	, , , , , , , , , , , , , , , , , , , ,	3333			instructions)	
					611000	
Coution	A nanalty for the late or incon	nnlote filing of this return/rener	t will be seesed	uniosa rossanable saus	a ia aatabliahad	
		nplete filing of this return/repor				
		alties set forth in the instructions, I he electronic version of this return				
Ctatomo	The arta attachments, as went as t	- To discussing voicien or time rotain		I I I I I I I I I I I I I I I I I I I	50101, 10 10 00, 0011001, 0110 00	ompioto.
CICN						
SIGN HERE	Filed with authorized/valid electr	onic signature.	01/16/2015	JANE JACOBS		
IILIXL	Signature of plan administrat	or	Date	Enter name of individua	al signing as plan administrator	•
SIGN						
HERE	Signature of ampleyer/plan of	noncer	Date	Enter name of individua	al signing as employer or plan s	noncor
	Signature of employer/plan s	polisor	Date	Enter name or mulvidua	il signing as employer or plants	sporisoi
SIGN						
HERE						
	Signature of DFE		Date	Enter name of individua	al signing as DFE	
Preparer	's name (including firm name, if a	applicable) and address; include r	oom or suite numbe	er. (optional)	Preparer's telephone number	
					(optional)	

	Form 5500 (2013)	Page :	2		
3a	Plan administrator's name and address Same as Plan Sponsor Name	Same as Plan S	Sponsor Address	3b Administrate	or's EIN
				3c Administrate number	or's telephone
4	If the name and/or EIN of the plan sponsor has changed since the last return. EIN and the plan number from the last return/report:	/report filed for t	his plan, enter the name,	4b EIN	
а				4c PN	
5	Total number of participants at the beginning of the plan year			5	1238
6	Number of participants as of the end of the plan year (welfare plans complete	e only lines 6a, 6	6b, 6c, and 6d).		
а	Active participants			6a	1260
b	Retired or separated participants receiving benefits			6b	
С	Other retired or separated participants entitled to future benefits			6c	
d	Subtotal. Add lines 6a, 6b, and 6c			. 6d	1266
е	Deceased participants whose beneficiaries are receiving or are entitled to rec	ceive benefits		6e	
f	Total. Add lines 6d and 6e .			6f	1266
g	Number of participants with account balances as of the end of the plan year (complete this item)			6g	
h	Number of participants that terminated employment during the plan year with				
7	less than 100% vested			6h	
	Enter the total number of employers obligated to contribute to the plan (only relative plan provides pension benefits, enter the applicable pension feature contributes to the plan (only relative plan provides pension benefits, enter the applicable pension feature contributes to the plan (only relative plan provides pension benefits, enter the applicable pension feature contributes to the plan (only relative plan provides pension benefits, enter the applicable pension feature contributes to the plan (only relative plan provides pension benefits, enter the applicable pension feature contributes to the plan provides pension benefits, enter the applicable pension feature contributes to the plan (only relative plan provides pension benefits, enter the applicable pension feature contributes to the plan provides pension benefits, enter the applicable pension feature contributes to the plan provides pension benefits, enter the applicable pension feature contributes to the plan provides pension benefits, enter the applicable pension feature contributes to the plan provides pension pension benefits and plan provides pension pe	. , ,	. ,	· 7 les in the instruction	ons.
b	If the plan provides welfare benefits, enter the applicable welfare feature code	es from the List	of Plan Characteristics Code	es in the instruction	ns:
	4H				
9a	Plan funding arrangement (check all that apply)		efit arrangement (check all th	at apply)	
	(1) Insurance (2) Code section 412(a)(3) insurance contracts	(1)	InsuranceCode section 412(e)(3)	incurance contrac	nte.
	(2) Code section 412(e)(3) insurance contracts (3) Trust	(2)	Trust	insurance contrac	иs
	(4) General assets of the sponsor	(4)	General assets of the s	ponsor	
10	Check all applicable boxes in 10a and 10b to indicate which schedules are at	` '		•	e instructions)
а	Pension Schedules	b General	Schedules		
u	(1) R (Retirement Plan Information)	(1)	H (Financial Infor	mation)	
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money	(2)	☐ I (Financial Inform	,	ın)
	Purchase Plan Actuarial Information) - signed by the plan	(3)	X 1 A (Insurance Info		,

(4)

(5)

(6)

C (Service Provider Information)D (DFE/Participating Plan Information)

G (Financial Transaction Schedules)

actuary

SB (Single-Employer Defined Benefit Plan Actuarial

Information) - signed by the plan actuary

(3)

SCHEDULE A (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

File as an attachment to Form 5500.

OMB No. 1210-0110

2013

This Form is Open to Public

► Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).						Inspection			
For calendar plan year 20	13 or fiscal pla	an year beginning 07/01/201	3	and en	ding 06	/30/2014			
A Name of plan SETON HALL UNIVERSITY					e-digit number (Pl	N) •	506		
C Plan sponsor's name as shown on line 2a of Form 5500 SETON HALL UNIVERSITY D Employer Identification Number (EIN) 22-1500645						r (EIN)			
Part I Information on a separate	Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.								
1 Coverage Information:									
(a) Name of insurance ca		F CANADA							
	(c) NAIC	(d) Contract or	(e) Approximate n	umber of		Policy or	contract year		
(b) EIN	code	identification number	persons covered a policy or contract		(f)	From	(g) To		
38-1082080	80802	213746	120	66	07/01/20	13	06/30/2014		
2 Insurance fee and com descending order of the		nation. Enter the total fees and t	otal commissions paid. L	ist in line 3	the agents,	brokers, and	other persons in		
		nmissions paid		(b) To	tal amount	of fees paid			
		22676					0		
3 Persons receiving com	missions and	fees. (Complete as many entrie	es as needed to report all	persons).					
		and address of the agent, broke	·	-	ions or fees	were paid			
MEEKER SHARKEY ASS		_C 21	COMMERCE DRIVE ANFORD, NJ 07016			•			
		0 1.	7.11.1 (27.12)						
(b) Amount of sales a	ad base	F	ees and other commissio	ns paid					
commissions pa		(c) Amount		(d) Purpose	9		(e) Organization code		
	22676	0					3		
	(a) Name	and address of the agent, broke	er, or other person to who	m commiss	ions or fees	were paid			
	` '	<u> </u>				•			
(b) Amount of sales ar			ees and other commissio				_		
commissions pa	id	(c) Amount		(d) Purpose	9		(e) Organization code		

Schedule A (Form 5500)	2013	Page 2 - 1		
(a) Na	ıme and address of the agent, broke	r or other person to whom co	ommissions or fees were naid	
(a) No	and and address of the agent, broke	i, or other person to whom of	Strimissions of fees were paid	
	Г			1
(b) Amount of sales and base		Fees and other commissions		(e) Organization
commissions paid	(c) Amount		(d) Purpose	code
(a) Na	ime and address of the agent, broke	r, or other person to whom co	ommissions or fees were paid	
(h) Amount of calca and hace		Fees and other commissions	paid	(a) Organization
(b) Amount of sales and base commissions paid	(c) Amount		(d) Purpose	(e) Organization code
·	, ,		` ,	
(a) Na	ime and address of the agent, broke	r, or other person to whom co	ommissions or fees were paid	
	<i>5 ,</i>	<u>′</u>	•	
	T			1
(b) Amount of sales and base		Fees and other commissions		(e) Organization
commissions paid	(c) Amount		(d) Purpose	code
(a) Na	ime and address of the agent, broke	r, or other person to whom co	ommissions or fees were paid	
(b) Amount of sales and base		Fees and other commissions	paid	(e) Organization
commissions paid	(c) Amount		(d) Purpose	code
(a) Na	ime and address of the agent, broke	r, or other person to whom co	ommissions or fees were paid	
•	-		•	
(b) Amount of sales and base		Fees and other commissions		(e) Organization
commissions paid	(c) Amount		(d) Purpose	code

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Pa	art I	Investment and Annuity Contract Information Where individual contracts are provided, the entire group of such indivi	dual contracts with e	ach carrier mav be treate	d as a unit for purposes of
		this report.		- -	' ' '
		rent value of plan's interest under this contract in the general account at year			
_		rent value of plan's interest under this contract in separate accounts at year el	nd	5	
ь		tracts With Allocated Funds:			
	а	State the basis of premium rates			
	b	Premiums paid to carrier		6b	
	C	Premiums due but unpaid at the end of the year			
	d	If the carrier, service, or other organization incurred any specific costs in cor		uicition or	
		retention of the contract or policy, enter amount.		l DO	
		Specify nature of costs			
	е	Type of contract: (1) individual policies (2) group deferred	d annuity		
		(3) other (specify)			
		_			
	f	If contract purchased, in whole or in part, to distribute benefits from a termin	ating plan, check her	re ▶ ∏	
7	Con	tracts With Unallocated Funds (Do not include portions of these contracts ma	• .		
	а		te participation guara		
		(3) guaranteed investment (4) other			
		(b) guaranteed investment (1) denote y			
	b	Balance at the end of the previous year		7b	
	c	Additions: (1) Contributions deposited during the year		7.0	
	•	(2) Dividends and credits	7c(2)		
		(3) Interest credited during the year	7c(3)		
		(4) Transferred from separate account	7c(4)		
		(5) Other (specify below)	7c(5)		
		•			
		(6)Total additions		7c(6)	
	d	Total of balance and additions (add lines 7b and 7c(6))		— · · ·	
		Deductions:			
		(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)		
		(2) Administration charge made by carrier	7e(2)		
		(3) Transferred to separate account	7e(3)		
		(4) Other (specify below)	7e(4)		
		•			
		(5) Total deductions		7e(5)	
	f	Balance at the end of the current year (subtract line 7e(5) from line 7d)			

Schedule A (Form 5500) 2013		Page 4	
Welfare Benefit Contract Informa If more than one contract covers the same g information may be combined for reporting p the entire group of such individual contracts	roup of employees of the sam urposes if such contracts are	experience-rated as a unit. Where contra	
and contract type (check all applicable boxes)		
lealth (other than dental or vision)	b Dental	c Vision	d Life insurance
emporary disability (accident and sickness)	f X Long-term disability	g Supplemental unemployment	h Prescription drug
top loss (large deductible)	j HMO contract	k ☐ PPO contract	I Indemnity contract
NI / 15 X			

i	Stop loss (large deductible)	j 📗 HMO contract	k PPO contract	I Ind	emnity contract
r	n ☐ Other (specify) ▶				
9 E	xperience-rated contracts:				
a	Premiums: (1) Amount received	9a(1	1)		
	(2) Increase (decrease) in amount due but unp	aid 9a(2	2)		
	(3) Increase (decrease) in unearned premium r	eserve 9a(3	3)		
	(4) Earned ((1) + (2) - (3))			9a(4)	
	b Benefit charges (1) Claims paid	9b(<i>′</i>	1)		
	(2) Increase (decrease) in claim reserves	9b(2	2)		
	(3) Incurred claims (add (1) and (2))	······		9b(3)	
	(4) Claims charged			9b(4)	
	c Remainder of premium: (1) Retention charges	(on an accrual basis)			
	(A) Commissions	9c(1)	(A)		
	(B) Administrative service or other fees	9c(1)	(B)		
	(C) Other specific acquisition costs	9c(1)	(C)		
	(D) Other expenses	9c(1)	(D)		
	(E) Taxes	9c(1)	(E)		
	(F) Charges for risks or other contingencies	9c(1)	(F)		
	(G) Other retention charges	9c(1)	(G)		
	(H) Total retention			9c(1)(H)	
	(2) Dividends or retroactive rate refunds. (The	se amounts were paid in cash, o	or credited.)	9c(2)	
	d Status of policyholder reserves at end of year:	_	_		
	(2) Claim reserves	•			
	(3) Other reserves.			· · ·	
	Dividends or retroactive rate refunds due. (Do				
10	Nonexperience-rated contracts:				
	a Total premiums or subscription charges paid to	o carrier		10a	220128
	b If the carrier, service, or other organization inc				-
	retention of the contract or policy other than re		•	10b	

Part IV	Provision of Information		
11 Did th	e insurance company fail to provide any information necessary to complete Schedule A?	Yes	X No

Specify nature of costs

8 Benefit and contract type (check all applicable boxes) **a** Health (other than dental or vision)

Part III

¹² If the answer to line 11 is "Yes," specify the information not provided.